(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Patent Application of:

Firlik et al.

JAN 2 7 2006

Application No.: 10/072,700

Confirmation No.: 2530

Filed: February 7, 2002

Art Unit: 3762

For:

METHODS AND APPARATUS FOR

EFFECTUATING A CHANGE IN A NEURAL-FUNCTION OF A PATIENT

Examiner: R. D. Bradford

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S.

Application No.: 10/072,700 Attorney Docket No.: 337348020US4

Patent and Trademark Office has waived this requirement for all U.S. patent applications.

Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR

1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other

material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR

1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

admission that any patent, publication or other information referred to therein is "prior art"

for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37

CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is

enclosed. The Director is hereby authorized to charge any deficiency in the fees filed.

asserted to be filed or which should have been filed herewith (or with any paper hereafter

filed in this application by this firm) to our Deposit Account No. 50-0665, under Order No.

337348020US4.

Dated: January 27, 2006

Respectfully submitted.

John M. Wechkin

Registration No.: 42,216

PERKINS COIE LLP

P.O. Box 1247

Seattle, Washington 98111-1247

(206) 359-8000

(206) 359-7198 (Fax)

Attorney for Applicant

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Attorney Docket No. 337348020US4

| Su    | Substitute for form 1449A/B/PTO   |      |            | Complete if Known      |                        |  |
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|       |                                   |      |            | Application Number     | 10/072,700-Conf. #2530 |  |
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| 8     | STATEMENT BY APPLICANT            |      |            | First Named Inventor   | Andrew D. Firlik       |  |
|       |                                   |      |            | Art Unit               | 3762                   |  |
|       | (Use as many sheets as necessary) |      | necessary) | Examiner Name          | R. D. Bradford         |  |
| Sheet | 1                                 | of   | 7          | Attorney Docket Number | 337348020US4           |  |

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Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. The Third The Theorem 1. \* The Indication of the Patent Documents, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

| Substitute for form 1449A/B/PTO |                                   | Complete if Known |          |                        |                        |
|---------------------------------|-----------------------------------|-------------------|----------|------------------------|------------------------|
|                                 |                                   |                   |          | Application Number     | 10/072,700-Conf. #2530 |
| IN                              | <b>IFORMATIO</b>                  | N DI              | SCLOSURE | Filing Date            | February 7, 2002       |
| S                               | STATEMENT BY APPLICANT            |                   |          | First Named Inventor   | Andrew D. Firlik       |
|                                 |                                   |                   |          | Art Unit               | 3762                   |
|                                 | (Use as many sheets as necessary) |                   |          | Examiner Name          | R. D. Bradford         |
| Sheet                           | 7                                 | of                | 7        | Attorney Docket Number | 337348020US4           |

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner  | -    | Date       |      |
|-----------|------|------------|------|
| Signature |      | Considered |      |
|           | <br> |            | <br> |

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.